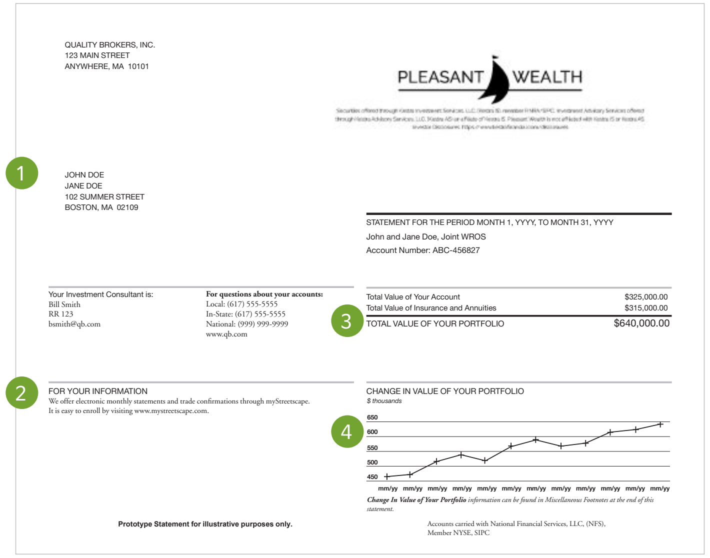
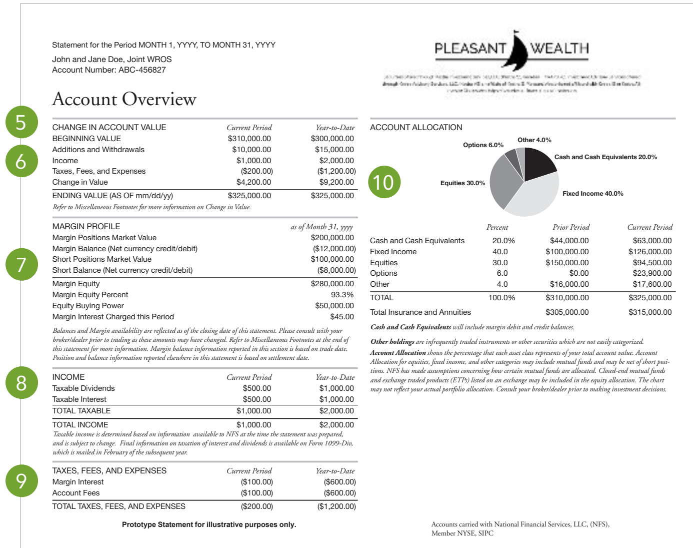
HOW TO READ YOUR ACCOUNT STATEMENT

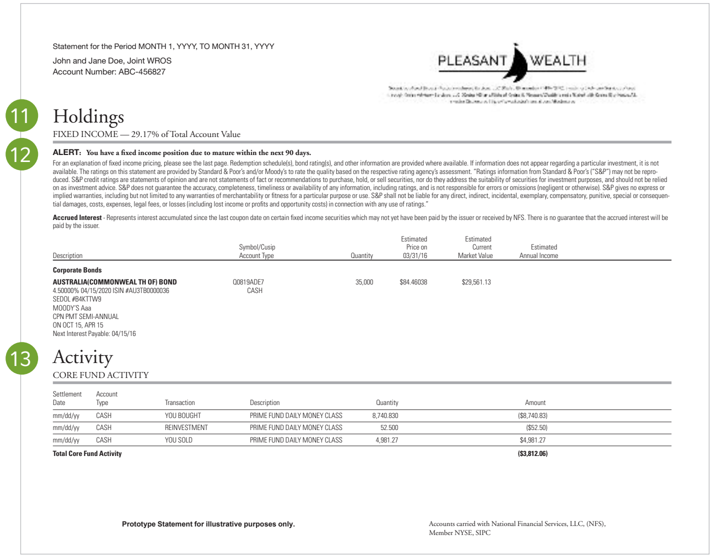
Front Page



Account Overview



Holdings and Activity



1 General Account Information

Easily find your account number for placing orders or making inquiries. The contact information for your investment professional, including address and phone number(s), is displayed.

2 For Your Information

This section includes important information related to your account that may require further action.

3 Total Value of Your Portfolio

This section provides the value of the account at a glance, plus any insurance or annuity assets, if applicable.

4 Change in Value of Your Portfolio

This chart visually displays the change in value of your portfolio assets from the prior 12 months (or when the account was opened, if later) to the current period. The line graph tracks changes in portfolio value for the life of the account.

5 Account Overview

This provides an overview of account information. The components include:

- Change in Account Value
- Misc. & Corporate Actions
- Margin Profile
- Income
- Taxes, Fees, and Expenses
- Account Allocation

6 Change in Account Value

This section displays a summary of account activity for both the current period and year to date. Categories include additions and withdrawals; income; taxes, fees, and expenses; other activity; and change in value.

7 Margin Profile

This displays margin account information, including margin position's market value, margin balance, short position's market value, short balance, margin equity, margin equity percentage, equity buying power, and margin interest charged this period.

8 Income

This displays a summary of income earned in the current period and year to date.

9 Taxes, Fees, and Expenses

This displays a summary of taxes, fees, and expenses paid in the current period and year to date.

10 Account Allocation

The pie chart shoes an at-a-glance view of the account asset allocation, while the table provides more details for both the current and prior periods.

Messages (not shown)

Read important messages from your investment firm, which may include information about regulatory issues, changes to your account, and new investment products.

Your statement is customized to include information about your account. It may not contain all the sections described here, or it may contain sections in addition to those described here. Contact your investment professional if you have any questions.

11 Holdings

This section provides a detailed view of your positions as of the end of the statement period. This includes cash, equities, options, fixed income, mutual funds, exchange traded products, and other holdings.

12 Alert

This displays notifications of specific events occurring in your account that may require further action.

13 Activity

This section is a recap of transactions that occurred in your account for the statement period. It includes details on trading, additions and withdrawals, income and expenses, and other activity.